



3000-2

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10-035 829	
	Filing Date	October 18, 2001	
	First Named Inventor	Vlad J. Novotny	
	Art Unit	2874	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	8	Attorney Docket Number	AONIP001C 1 (AO-666)

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to a Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Please see remarks column.
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks 1. A copy of the filing receipt with requested corrections in red. 2. A copy of the original "Request for Corrected Filing Receipt," and related paper. 3. Return receipt postcard.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Arthur J. Behiel, Patent Attorney	
Signature		
Date	5/5/03	

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence, containing a communication to the USPTO, is deposited with the United States Postal Service with sufficient postage for transmission by first-class mail, postage paid, addressed to: Commissioner for Patents, Washington, DC 20591 on this date: 05-05-03			
Typed or printed	Lottie Moreno		
Signature		Date	05-05-03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to be had by the USPTO in processing an application. Confidentiality is required by 35 U.S.C. 422 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including authoring, preparing, and submitting the completed application form to the USPTO. The actual time may vary depending upon the individual case. Any comments on this collection of information should be sent to the Commissioner for Patents, U.S. Department of Commerce, Washington, DC 20591.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Vlad J. Novotny and Parvinder Dhillon
Assignee: Active Optical Networks, Inc.
Title: "MICRO-OPTO-ELECTRO-MECHANICAL SWITCHING SYSTEM"
Serial No.: 10/035,829 Filed: 10/18/2001
Examiner Not assigned Phone: Unknown
Docket No.: AONIP001C1 Art Unit: 2874

RECEIVED
JUN - 2 2003
TECHNOLOGY CENTER 2800

Office of Initial Patent Examination's Customer Service Center
Washington, D.C. 20231

STATUS LETTER

Sir / Madam:

On April 16, 2002, a "Request for Corrected Filing Receipt" was mailed to your office for the above-identified application. To date we have not received a corrected filing receipt.

Enclosed is a copy of the original Filing Receipt with the following requested corrections made in red ink. Please reprint the Filing Receipt as corrected and mail the corrected copy to the undersigned.

Correct the Domestic Priority Data to read:

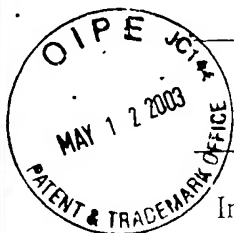
Continuation of 09/981,628 filed 10/15/2001; Priority Claimed to 09/865,951 filed 05/24/2001, which claims priority to 60/206,744 filed 05/24/2000, and to 60/241,269 filed 10/17/2000, and priority claimed to 09/880,456 filed 06/12/2001, which claims priority to 60/211,239 filed 06/12/2000.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

05-05-2003 J. J. Novotny

Respectfully Submitted,


Arthur J. Behiel
Attorney for Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: NOVOTNY et al.

Attorney Docket No.: AONIP001C1

Application No.: 10/035,829

Examiner: UNASSIGNED

Filed: October 18, 2001

Group: 2874

Title: MICRO-OPTO-ELECTRO-MECHANICAL
SWITCHING SYSTEM

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to Commissioner for Patents, Washington, DC 20231 on April 16, 2002.

Signed: 

Sandra Halliwell

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Sir:

Enclosed is a copy of the Filing Receipt for the above-identified patent application with the following requested corrections made in red ink. Please reprint the Filing Receipt as corrected and mail the corrected copy to the undersigned.

Correct the Domestic Priority Data to read:

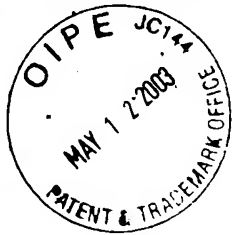
-- CONT OF 09/981,628 FILED 10/15/2001; PRIORITY CLAIMED TO 09/865,981 FILED 05/24/2001, WHICH CLAIMS PRIORITY TO 60/206,744 FILED 05/24/2000, AND TO 60/241,269 FILED 10/17/2000, AND PRIORITY CLAIMED TO 09/880,456 FILED 06/12/2001, WHICH CLAIMS PRIORITY TO 60/211,239 FILED 06/12/2000 --.

Since this is a Patent Office typographical error, no fee should be due.

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP





Docket #	AONIP001C1	By:	FTK sh	Date of this mailing:	April 16, 2002
Appl'n # :	10.035.829	Filing Date:	10/18/2001	Express Mail #	
Inv(s)	Novotny et al.				
Title:	MICRO-OPTO-ELECTRO-MECHANICAL SWITCHING SYSTEM				

The following have been received in the U.S. Patent Office on the date stamped hereon:

Item	Description	# Pgs
1.	Request For Corrected Filing Receipt	1
2.	Copy of Filing Receipt with correction noted in red	2



TECHNOLOGY CENTER 2000

APR 22 2002

ACTING DEPT



UNITED STATES PATENT AND TRADEMARK OFFICE

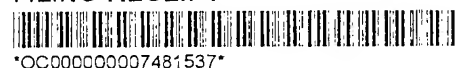
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20230
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/035,829	10/18/2001	2874	0.00	AONIP001C1	22	68	7

CONFIRMATION NO. 2508

22434
BEYER WEAVER & THOMAS LLP
P.O. BOX 778
BERKELEY, CA 94704-0778

FILING RECEIPT



OC00000007481537

Date Mailed: 02/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Vlad J. Novotny, Residence Not Provided;
Parvinder Dhillon, Residence Not Provided;

Assignment For Published Patent Application

Active Optical Networks, Inc.;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/14/2002

-- CONT OF 09/981,628 FILED 10/15/2001; PRIORITY
CLAIMED TO 09/865,981 FILED 05/24/2001, WHICH
CLAIMS PRIORITY TO 60/206,744 FILED 05/24/2000,
AND TO 60/241,269 FILED 10/17/2000, AND
PRIORITY CLAIMED TO 09/880,456 FILED
06/12/2001, WHICH CLAIMS PRIORITY TO

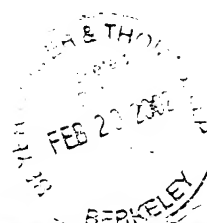
60/211,239 FILED 06/12/2000 --

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

TECHNICAL



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).